

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention CONCENTRICOLIDE AND ITS DERIVATIVES, PROCESS FOR PREPARING THEM, PHARMACEUTICAL COMPOSITION COMPRISING THE SAME AND ITS USE

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ U.S. Application No. 10/576,758, Int'l Application No. PCT/CN2004/01188, Int'l filing date 20 October 2004,
☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor one: Jikai Liu Citizen of: China

Signature: X Liu Jikai <刘吉开签汉语拼音> Date: January 23, 2007

Inventor two: Yongtang Zheng Citizen of: China

Signature: X Zheng Yongtang <郑永唐签汉语拼音> Date: January 23, 2007

Inventor three: Xiangdong Qin Citizen of: China

Signature: X Qin Xiangdong <秦向东签汉语拼音> Date: January 23, 2007

Inventor four: Liumeng Yang Citizen of: China

Signature: X Yang Liumeng <杨柳萌签汉语拼音> Date: January 23, 2007

Inventor five: Zejun Dong Citizen of: China

Signature: X Dong Zejun <董泽军签汉语拼音> Date: January 23, 2007

X Additional inventors or a legal representative are being named on 1 additional form(s) attached hereto.

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION
USING AN APPLICATION DATA SHEET (37 CFR 1.76)

ADDITIONAL INVENTOR(S)
Supplemental Sheet

Page 2 of 2

FULL NAME OF INVENTOR(S)

Inventor six: Ruirui Wang Citizen of: China

Signature: X Wang Ruirui <王睿睿签汉语拼音> Date: January 23, 2007

Inventor seven: Jianwen Tan Citizen of: China

Signature: X Tan Jianwen <谭建文签汉语拼音> Date: January 23, 2007

Inventor eight: _____ Citizen of: _____

Signature: _____ Date: _____

Inventor nine: _____ Citizen of: _____

Signature: _____ Date: _____

☐ Additional inventors or a legal representative are being named on _____ additional form(s) attached hereto.

ELECTION AND POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM	Application Number	10/576,758
	Filing Date	20 October 2004 (Int'l Date)
	First Named Inventor	Jikai Liu
	Title	CONCENTRICOLIDE AND ITS DERIVATIVES, PROCESS FOR PREPARING THEM, PHARMACEUTICAL COMPOSITION COMPRISING THE SAME AND ITS USE
	Art Unit	
	Examiner Name	
	Attorney Docket Number	570021 401USPC

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint:

☒ Practitioners at Seed IP Law Group PLLC, Customer Number: **00500**

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above (and any continuation/divisional applications therefrom), and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number.

OR

☐ The address associated with Customer Number:

OR

☐ Firm or
Individual Name

Address

Address

City

State

ZIP

Country

Telephone

Email

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ As assignee of record of the entire interest I/we hereby elect, under 37 CFR 3.71, to prosecute the application to the exclusion of the inventor(s).

SIGNATURE of Applicant or Assignee of Record

Signature

X Li Dexun

Date

January 23, 2007

Name

X Li de zhu

Title and
Company
(Assignee)

Kunming Institute of Botany, The Chinese Academy of Sciences

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 2 forms are submitted.

ELECTION AND POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM	Application Number	10/576,758
	Filing Date	20 October 2004 (Int'l Date)
	First Named Inventor	Jikai Liu
	Title	CONCENTRICOLIDE AND ITS DERIVATIVES, PROCESS FOR PREPARING THEM, PHARMACEUTICAL COMPOSITION COMPRISING THE SAME AND ITS USE
	Art Unit	
	Examiner Name	
	Attorney Docket Number	570021.401USPC

I hereby revoke all previous powers of attorney given in the above-identified application.

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OR

☐ The address associated with Customer Number.

OR

☐ Firm or Individual Name

Address

Address

City State ZIP

Country

Telephone Email

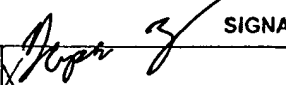
I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ As assignee of record of the entire interest I/we hereby elect, under 37 CFR 3.71, to prosecute the application to the exclusion of the inventor(s).

SIGNATURE of Applicant or Assignee of Record (代表签字汉语拼音)

Signature  Date January 23, 2007

Name Ya-ping Zhang < (中国科学院昆明动物研究所法代表签字汉语拼音, 务必清楚工整) >

Title and Company (Assignee) Kunming Institute of Zoology, The Chinese Academy of Sciences

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 2 forms are submitted.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Jikai Liu et al.

Application No./Patent No.: 10/576,758

Filed/Issue Date: 20 October 2004 (Int'l Date)

Entitled: CONCENTRICOLIDE AND ITS DERIVATIVES, PROCESS FOR PREPARING THEM, PHARMACEUTICAL COMPOSITION COMPRISING THE SAME AND ITS USE

Kunming Institute of Botany, The Chinese Academy of Sciences

university

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above.
The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a true copy of the original assignment is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

X Li Deshu January 23, 2007
Signature Date

中国科学院昆明植物研究所
所长代表签字(汉语拼音)

X Li Deshu Director
Typed or printed name Title

姓名, 用拼音填写

昆明植物研究所上面签字人
职务, 用英文填写

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Jikai Liu et al.Application No./Patent No.: 10/576,758

Filed/Issue Date:

20 October 2004 (Int'l Date)

Entitled: CONCENTRICOLIDE AND ITS DERIVATIVES, PROCESS FOR PREPARING THEM, PHARMACEUTICAL COMPOSITION COMPRISING THE SAME AND ITS USE

Kunming Institute of Zoology, The Chinese Academy of Sciences

(Name of Assignee)

a

university

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a true copy of the original assignment is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____

To: _____

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2. From: _____

To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____

To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

X Yajun Zhang 昆明动物研究所 January 23, 2007
Signature 研究所法人代表签字(汉语拼音) Date

X Ya-ping Zhang 昆明动物研究所 Director
Typed or printed name 代表姓名, 用汉语拼音填写 Title 昆明动物研究所负责人职务, 用英文填写

ASSIGNMENT

WHEREAS, we,

Name	Post Office Address	
Jikai Liu	No. 610, Longquan Road, Kunming City, Yunnan, 650204 China	;
Yongtang Zheng	32 Jiaochang East Road, Kunming City, Yunnan, 650223 China	;
Xiangdong Qin	No. 610, Longquan Road, Kunming City, Yunnan, 650204 China	;
Liumeng Yang	32 Jiaochang East Road, Kunming City, Yunnan, 650223 China	;
Zejun Dong	No. 610, Longquan Road, Kunming City, Yunnan, 650204 China	;
Ruirui Wang	32 Jiaochang East Road, Kunming City, Yunnan, 650223 China	; and
Jianwen Tan	No. 610, Longquan Road, Kunming City, Yunnan, 650204 China	

(hereinafter referred to as ASSIGNORS), having post office addresses as listed above next to our names, are the joint inventors of an invention entitled "CONCENTRICOLIDE AND ITS DERIVATIVES, PROCESS FOR PREPARING THEM, PHARMACEUTICAL COMPOSITION COMPRISING THE SAME AND ITS USE," as described and claimed in the specification for which an application for United States letters patent was filed and assigned Application No. 10/576,758. This application is a national stage application filed under 35 U.S.C. 371 of International Application No. PCT/CN2004/01188, accorded an International Filing Date of 20 October 2004;

WHEREAS, we,

Name	Post Office Address	
Kunming Institute of Botany, The Chinese Academy of Sciences	No. 610, Longquan Road, Kunming City, Yunnan, 650204 China	; and
Kunming Institute of Zoology, The Chinese Academy of Sciences	32 Jiaochang East Road, Kunming City, Yunnan, 650223 China	

COPY

(hereinafter referred to as ASSIGNEES), having usual places of business as listed above next to our names, are desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and, in any and all divisions, reissues and continuations thereof, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

January 23, 2007
Date

X Liu Jikai ← (刘吉开签汉语拼音)
Jikai Liu

I certify that I know or have satisfactory evidence that Jikai Liu signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date January 23, 2007
(Type or Print)

Signed at YUNNAN, China

X Maxiaoqing ← (见证人姓名, 用汉语拼音)
(Type or Print Name of Witness) 填写, 清楚工整

X Maxiaoqing ← (见证人签汉语拼音)
(Signature of Witness)

Date January 23, 2007

X Zheng Yongtang <(郑永唐签汉语拼音)>
Yongtang Zheng

I certify that I know or have satisfactory evidence that Yongtang Zheng signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date January 23, 2007
(Type or Print)

Signed at YUNNAN, China

X Maxiaoqing <(见证人姓名, 用清楚工整的拼音填写)>
(Type or Print Name of Witness) X maxiaoqing <(见证人签汉语拼音)>
(Signature of Witness)

Date January 23, 2007

X Qin Xiangdong <(秦向东签汉语拼音)>
Xiangdong Qin

I certify that I know or have satisfactory evidence that Xiangdong Qin signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date January 23, 2007
(Type or Print)

Signed at YUNNAN, China

X Maxiaoqing <(见证人姓名, 用清楚工整的拼音填写)>
(Type or Print Name of Witness) X maxiaoqing <(见证人签汉语拼音)>
(Signature of Witness)

January 23, 2007
Date

X Yang Liumeng ← (杨柳萌 签汉语拼音)
Liumeng Yang

I certify that I know or have satisfactory evidence that Liumeng Yang signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date January 23, 2007
(Type or Print)

Signed at YUNNAN, China

X Maxiaoqing ← (见证人姓名, 用清楚工整的拼音填写) X maxiaoqing ← (见证人签汉语拼音)
(Type or Print Name of Witness) (Signature of Witness)

January 23, 2007
Date

X Dong Zejun ← (董泽军 签汉语拼音)
Zejun Dong

I certify that I know or have satisfactory evidence that Zejun Dong signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date January 23, 2007
(Type or Print)

Signed at YUNNAN, China

X Maxiaoqing ← (见证人姓名, 用清楚工整的拼音填写) X maxiaoqing ← (见证人签汉语拼音)
(Type or Print Name of Witness) (Signature of Witness)

Date January 23, 2007X Wang Ruirui
Ruirui Wang

<(王睿睿签汉语拼音)>

I certify that I know or have satisfactory evidence that Ruirui Wang signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date January 23, 2007
(Type or Print)Signed at YUNNAN, ChinaX Maxiaoqing <(见证人姓名用清楚工整的拼音填写)>
(Type or Print Name of Witness)X maxiaoqing <(见证人签汉语拼音)>
(Signature of Witness)Date January 23, 2007X Tan Jianwen
Jianwen Tan

<(谭建文签汉语拼音)>

I certify that I know or have satisfactory evidence that Jianwen Tan signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date January 23, 2007
(Type or Print)Signed at YUNNAN, ChinaX Maxiaoqing <(见证人姓名用清楚工整的拼音填写)>
(Type or Print Name of Witness)X maxiaoqing <(见证人签汉语拼音)>
(Signature of Witness)